1	Processes regulating formation of low-salinity high-biomass lenses near				
2	the edge of the Ross Ice Shelf				
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12	Abstract				
13	In situ observations in austral summer of early 2012 in the Ross Sea suggest the presence of				
14	low-salinity, high-biomass lenses within cold eddies along the edge of the Ross Ice Shelf (RIS).				
15	Idealized model simulations are utilized to examine the processes responsible for ice shelf eddy				
16	formation. 3-D model simulations produce similar cold and fresh eddies, although the simulated				
17	vertical lenses are quantitatively thinner than observed. Model sensitivity tests show that both				
18	basal melting underneath the ice shelf and irregularity of the ice shelf edge facilitate generation of				
19	cold and fresh eddies. 2-D model simulations further suggest that both basal melting and				
20	downwelling-favorable winds play crucial roles in forming a thick layer of low-salinity water				
21	observed along the edge of the RIS. These properties may have been entrained into the observed				
22	eddies, whereas that entrainment process was not captured in the specific eddy formation events				
23	studied in our 3-D model-which may explain the discrepancy between the simulated and				
24	observed eddies, at least in part. Additional sensitivity experiments imply that uncertainties				
25	associated with background stratification and wind stress may also explain why the model				
26	underestimates the thickness of the low-salinity lens in the eddy interiors. Our study highlights				
27	the importance of incorporating accurate wind forcing, basal melting and ice shelf irregularity for				
28	simulating ocean dynamics near the RIS edge.				
29	Key words: eddies, instability, basal melting, mixed layer processes, ice shelf geometry				
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### 31 1. Introduction

Ice shelves are floating extensions of grounded glaciers. The largest ice shelf (~ $4.7 \times$ 32 10<sup>5</sup> km<sup>2</sup>) in Antarctica is the Ross Ice Shelf (RIS), located in the southern Ross Sea (Fig. 33 34 1). The RIS region plays host to a number of important physical and biological 35 processes. Air-sea interaction and ice dynamics at the edge of the RIS influence High 36 Salinity Shelf Water (HSSW) formation (MacAyeal, 1984; Orsi and Wiederwohl, 2009), which is a dense water mass that is critical in Antarctic Bottom Water formation (Jacobs 37 38 et al., 1996; Orsi et al., 2002; Whitworth and Orsi, 2006; Gordon et al., 2009). The frontal region also bridges heat and mass exchanges with the open ocean (Shepherd et al., 2010, 39 40 2012; Rignot et al., 2013; Depoorter et al., 2013). The Ross Sea is the most productive area in the Southern Ocean (Comiso et al., 1993; Arrigo et al., 1998), and the RIS 41 42 delimits the southern boundary of the region of high productivity. Although recent 43 evidence suggests that the iron supply from glacial ice melt constitutes only a small 44 fraction of the iron supply to the entire Ross Sea (McGillicuddy et al., 2015), basal melting is a primary pathway for iron supply in other Antarctic polynyas (Arrigo et al., 45 46 2015; Gerringa et al., 2012).

Processes at different depth levels make the cavity underneath the RIS a unique 47 ocean environment. In the surface ocean layer, basal melting is facilitated by occasional 48 49 warm water intrusions (Jenkins and Doake, 1991, Horgan et al., 2011; Arzeno et al., 50 2014). At mid depth, melting is caused by intrusion of modified circumpolar deep water 51 (Jacobs et al., 2011, Dinniman et al., 2007, 2012; Klinck and Dinniman, 2010; Pritchard 52 et al., 2012). In the deepest layer, dense HSSW (a product of brine rejection over the 53 continental shelf from ice formation during the winter months), which is at the surface 54 freezing point, can penetrate into the cavity, causing melting near the grounding line due 55 to the depression of the freezing point of seawater with increasing pressure. As the buoyant meltwaters rise along the ice shelf base, they can re-freeze at mid-depth due to 56 the increase in freezing point with decreasing pressure, producing super-cooled Ice Shelf 57 58 Water (ISW). All these processes interact with each other at various spatial and temporal scales, making for a complex regime of thermohaline circulation (MacAyeal, 1984;1985).

61 Of particular interest from a coupled physical-biological perspective is the 62 penetration of glacial meltwater into the surface waters in the interior of the Ross Sea, as this constitutes a source of iron to upper ocean phytoplankton populations. In austral 63 summer of 2012, we observed two anticyclonic eddies emanating from the edge of the 64 65 RIS northward into the Ross Sea. These low-salinity lenses had deep mixed layers (ca. 80 66 m) and very high biomass of the colonial prymnesiophyte *Phaeocystis antarctica* (Smith et al., in preparation). Our goal is to identify the processes that lead to generation of these 67 eddy features, taking an initial step toward understanding their importance to the physics 68 69 and biology of the region.

70 The primary surface circulation feature along the front of the RIS in this area is a 71 relatively strong, narrow and fresh, westward flowing coastal current (Jacobs et al., 1970; Keys et al., 1990). A similar westward current is found along the front of the 72 73 Ronne-Filchner Ice Shelf (Makinson et al., 2006) where mooring observations at depth 74 also suggest the possibility of eddy derived variability (Nicholls et al., 2003). Knowledge 75 of ocean variability in the near-surface ocean layer near the RIS remains rather limited 76 due the scarcity of data, with relatively few direct observations available (Jacobs et al., 77 1985; Smethie and Jacobs, 2005). Arzeno et al. (2014) postulated eddies as being 78 responsible for variability in currents underneath the RIS that was uncorrelated with the wind. Although the process of eddy generation has been examined in many oceanic 79 regimes, relatively few studies have focused on instabilities associated with ice-ocean 80 interactions (Clarke et al., 1978; Chu, 1987; Dumont et al., 2010; Häkkinen, 1986; 81 82 Niebauer, 1982). In general, glacial meltwater can create horizontal density gradients that force a baroclinic jet (Niebauer, 1982). Häkkinen (1986) found that when across- and 83 along-ice edge spatial scales are similar enough, such baroclinic jets can generate eddy 84 structures along the ice edge, especially when the wind forcing is time-varying between 85

upwelling and downwelling conditions. In a modeling study in Baffin Bay near Greenland, cyclonic eddies were generated at the edge of landfast ice in response to strong wind forcing (Dumont et al., 2010). All of these studies pointed to the importance of baroclinic jets and wind forcing in forming eddies near the ice edge.

90 Earlier efforts utilized idealized two-layer ocean models to study how ice-ocean 91 interactions can excite unstable wave forms. Using a semi-analytical quasi-geostrophic 92 model, Clark et al. (1978) showed that fluctuations in the flow along fast ice can be 93 described as wind-forced trapped long-waves propagating along the ice edge. Chu (1987) 94 used a similar framework, identifying an air-sea interaction feedback mechanism that excites an unstable mode in the presence of curvature in the ice edge. Their work 95 96 highlighted the importance of considering multiple factors such as ice shelf edge 97 irregularity and background stratification (initial conditions) in studying the instability 98 processes. However, because their models did not consider basal melting, they were not able to capture the structure of low salinity features near the ice edge. 99

100 Our approach is to use high-resolution models with varying levels of complexity to 101 understand the mesoscale phenomena we observed at the edge of the RIS. In section 2 we 102 present the observations, consisting of both satellite imagery and *in situ* measurements. 103 We describe the model configuration in section 3, followed by sections 4 and 5 showing 104 its implementation in three- and two-dimensional configurations respectively. The former 105 is used to investigate the process responsible for eddy generation, whereas the latter 106 provides insight into the mechanisms responsible for the thickness of the low-salinity surface layer. Section 6 offers further analysis and discussion of the dynamics via 107 108 sensitivity analyses. A summary and conclusions are presented in section 7.

109

## 110 **2. Observations**

111

112 2.1 Satellite imagery

113 A sequence of satellite images in January 2012 captured the signature and evolution

of several eddies near the RIS. On January 22, there were a number of cold eddies along 114 the edge of the ice shelf (Fig. 2a), including some that were already separated from the 115 116 RIS (e.g., near 177.5 °E), and some that remained connected to the ice shelf edge (e.g., 117 Eddy 1 and Eddy 2). Eddy 1 (radius ~12 km) was flanked by warm anomalies to the east 118 and northwest. Eddy 2 was slightly smaller (radius ~8 km), separated from Eddy 1 by a 119 warm filament protruding south to the edge of the RIS. Satellite ocean color imagery 120 indicated a ca. 20 km wide strip of low chlorophyll a (Chl-a) concentrations extending 121 along the edge of the RIS, with much higher concentrations to the north (Fig. 2b). The signatures of Eddies 1 and 2 in ocean color are barely discernible in the January 22 image 122 123 as northward perturbations to the frontal boundary separating high and low Chl-a. 124 Three days later, the two eddies had moved away from the RIS and evolved in the 125 process (Fig. 3). Eddy 1 moved north, whereas Eddy 2 moved northwest, narrowing the 126 gap in between them. Both eddies propagated westward, as is commonly the case (Cushman-Roisin et al., 1990). Compared to three days prior, Eddy 1 took on a more 127 128 circular shape. By January 25, Eddy 1 had almost completely separated from the RIS, 129 connected to the shelf edge by only a narrow cold filament running southwest from the 130 southern flank of the eddy (Fig. 3a). The warm anomaly previously to the east of Eddy 1 131 on January 22 appeared to have been swept southwestward by January 25. However, this 132 movement is opposite in direction to that caused by the eddy's rotation, so if this 133 interpretation is correct then translation of the warm anomaly must have been caused by 134 flow external to the eddy. Whereas Eddy 1 had almost entirely separated from the RIS on January 25, Eddy 2 was still in the process of separation from the ice shelf, with a cold 135 136 filament linking its southern flank to the cold-water band adjacent to the RIS. As the two 137 eddy features moved away from the RIS into the Ross Sea interior, they became more clearly evident in ocean color imagery as local minima in Chl-a (Fig. 3b). 138 139

140 2.2 High-resolution survey of the RIS eddies

Voyage NBP-1201 of RVIB Nathaniel B. Palmer took place from December 24, 2011 141 to Feb 5, 2012. A multi-scale survey of the Ross Sea was carried out, and herein we focus 142 143 on a subset of the observations collected in the vicinity of the RIS. High-resolution 144 cross-sections of Eddies 1 and 2 were obtained with the Video Plankton Recorder (VPR; 145 Davis et al., 1992), providing conductivity, temperature and depth (CTD) observations, 146 along with fluorescence measurements and plankton imagery. Underway Acoustic 147 Doppler Current Profiler (ADCP) current velocity observations were collected along the 148 ship track with an RD Instruments NB150. Hydrographic profiles were obtained with a 149 SeaBird Electronics 911 CTD and standard rosette system.

A VPR survey of Eddies 1 and 2 was conducted on January 26 (magenta line, Fig. 3). 150 151 The ship track started from the west and cut through Eddy 1. It then passed across the 152 frontal area between the two eddies, penetrated into the interior of Eddy 2, and 153 subsequently turned southeastward. ADCP currents (Fig. 3) showed that both eddies were anticyclonic (counter-clockwise). The VPR data revealed that the cores of both eddies 154 155 contained low-salinity lenses extending from the surface to 80 m (Fig. 4b). The surface 156 layer temperature was 0.6 °C lower than outside of the eddies (Fig 4a). The mixed layers 157 within the eddies were deeper than outside, where the surface layer of relatively warm and salty water was only about 45 m deep (Fig. 4a, b). The cold and fresh lenses in the 158 159 eddy interiors contained high fluorescence (Fig. 4c), consistent with high abundance of P. 160 antarctica colonies identified in the VPR imagery (Smith et al., in prep). Highest fluorescence along the ship track occurred at the frontal boundary on the western flank of 161 Eddy 2, although the mechanism responsible for that submesoscale enhancement remains 162 163 unknown.

It is interesting to note that the lenses of high fluorescence revealed by the VPR data (Fig. 4c, d) were manifested as local minima in Chl-a in the satellite imagery (Fig. 3). Caution needs to be taken in comparing *in situ* fluorescence and satellite data for several reasons. First, the algorithm for retrieving MODIS Chl-a is based on water leaving

irradiance (Clark, 1997), and the Chl-a concentration reflects a weighted average over the
upper 1-2 optical depths. Second, remotely sensed Chl-a can also be contaminated by
other dissolved and particulate materials (Carder et al., 2004). Finally, *in vivo*fluorescence can be reduced by the 'quenching effect' (e.g., Falkowski et al., 1995) when
photosynthetic reaction centers are saturated with ambient light, such as typically occurs
in the upper euphotic zone during daylight hours.

Despite these caveats, it is still of interest to make direct comparisons between the VPR observations of fluorescence and the satellite retrievals of Chl-a. The optical depth can be estimated based on an inverse relationship with the attenuation coefficient of

177 downward irradiance  $(K_d)$  for blue light

178 (http://oceancolor.gsfc.nasa.gov/cms/atbd/kd\_490). Based on satellite data from January

179 2012,  $K_d$  was within the range of 0.2-0.4 m<sup>-1</sup> near the RIS edge (not shown), indicating an

180 optical depth of ~5 m. Comparison of the VPR fluorescence averaged over upper two

181 optical depths (10 m) and the satellite-based Chl-a concentration extracted along the VPR

transect reveals similarity between two variables (Fig. 4d). Both VPR fluorescence and

183 MODIS Chl-a were highest near the frontal region between the two eddies. Clearly, the

184 satellite observations do not reflect the deeper structure of the fluorescence distribution

(Fig. 4c) which results in a local maximum near eddy center in a depth-integrated sense(Fig. 4d).

187

188 2.3 CTD transects

In January 25-26, 2012, two CTD transects were conducted: one along the edge of the RIS, and one normal to it (Fig. 3). In general, waters along the RIS tended to be colder and fresher than those to the north (Fig. 5). However, there was considerable variability in properties along the ice shelf. At station 62, there was a cold and fresh layer extending down below 100 m, with high fluorescence throughout. This vertical structure is reminiscent of that observed in the interiors of Eddies 1 and 2, with very similar temperature and salinity characteristics.

## 197 **3. Model configuration**

198 The Regional Ocean Modeling System (ROMS, Haidvogel et al., 2008; Shchepetkin 199 and McWilliams, 2005) is used in this study. ROMS is a free-surface, hydrostatic, 200 primitive-equation model that employs split-explicit separation of fast barotropic and slow baroclinic modes and vertically stretched terrain-following coordinates. An ice shelf 201 202 module is coupled with the ocean model (Dinniman et al., 2011; Stern et al., 2013). The 203 *K*-profile parameterization (KPP) turbulence closure scheme (Large et al., 1994) is 204 applied to compute both momentum and tracer vertical mixing. The KPP scheme is 205 modified according to Dinniman et al. (2003): the surface mixed layer depth under 206 stabilizing conditions is set to a minimum depth, equal to the directly wind forced 207 minimum depth under stable conditions in a Kraus/Turner bulk mixed-layer model 208 (Krauss and Turner, 1967; Niiler and Kraus, 1977). Sensitivity tests show that altering values for horizontal diffusivity within reasonable ranges have limited impact on the 209 210 major conclusions herein (Appendix A). Quadratic drag is used to compute the frictional 211 force on water in contact with the bottom and the ice shelf. The model also includes 212 mechanical and thermodynamic interactions between the floating ice shelf and water 213 cavity underneath (Holland and Jenkins, 1999; Dinniman et al, 2011; Stern et al., 2013). 214 A brief description on the parameterization of the ice shelf basal melting is provided in 215 Appendix B. Interested readers are referred to Dinniman et al. (2011) for additional details of the model. 216

217

218 3.1 Model grid and ice shelf

Our idealized 2-D and 3-D models of the RIS utilize the same cross-shelf geometry, configured to mimic the average bottom elevation and ice shelf draft in Bedmap2 data for the area of interest (Fig. 6), a configuration similar to that used in another idealized modeling study (Gwyther et al., 2015). Both our 2D and 3D models have 100 vertical

223	layers, and horizontal grid resolution of 500 m in the along-ice shelf and cross- ice shelf
224	direction (referred to as the X- and Y-directions, respectively). The horizontal resolution
225	is approximately one order of magnitude smaller than the first baroclinic Rossby radius
226	of deformation, thus making it suitable for simulating eddy processes at an ice shelf front
227	(Årthun et al., 2013). The bottom is flat with a depth of 600 m. The model domains span
228	200 km in the cross- ice shelf direction in order to minimize impacts of the open offshore
229	boundary on the processes of interest near the ice shelf. The 3-D model domain spans 100
230	km in the along- ice shelf direction. See Appendix B for additional information pertaining
231	to accuracy of the pressure gradient calculation in this particular geometry.
232	
233	3.2 Ross Ice Shelf edge roughness in the 3-D model
234	To implement realistic roughness in the edge of the RIS, we first digitized the edge
235	from the Bedmap2 ice thickness ( <u>http://nora.nerc.ac.uk/501469/</u> ). Second, those
236	roughness elements were projected onto the straight ice shelf edge in our idealized
237	geometry.
238	

239 3.3 Initial and boundary conditions

Both initial and boundary conditions (Fig.6) are spatially uniform based on the 240 241 vertical temperature and salinity profiles from CTD station 58, the most offshore station 242 for the RIS CTD survey (Fig. 5). Initial velocities and sea level elevations are set to zero. The selection of the initial condition is *ad hoc*, as the hydrographic conditions near the 243 edge of the ice shelf were not sampled extensively. Some of the uncertainties associated 244 245 with the initial condition are assessed in section 6.

A closed wall is imposed on the southern boundaries of the model domains. In the 246 247 north, open boundary conditions are applied to tracers following the method of Marchesiello et al. (2001), with the external values provided by CTD station data. At the 248 249 open northern boundary of both models, a 20-point sponge layer at the boundary provides

enhanced viscosity and diffusivity to suppress numerical noise generated by wave

251 reflection. The 2-D model actually includes six grid points in the along- ice shelf

direction, but periodic boundary conditions yield a solution that is essentially 2-D.

253 Periodic boundaries are also used in the 3-D model, but its 100 km extent in the along-

254 ice shelf direction allows energetic mesoscale flows to develop. No tidal forcing is

included in the model.

256

#### 257 3.4 Surface forcing

Wind forcing is from the Antarctic meteorological station VITO on the Ross Ice Shelf 258 259 (Fig. 1). The six hourly wind speed (Fig. 7) is converted to wind stress based on the formulation of Large and Pond (1981), and the wind forcing is assumed to be spatially 260 261 uniform over the entire model domain. There is no surface salinity flux. A constant surface net heat flux of 35 W m<sup>-2</sup> into the ocean is specified, a mean value for the month 262 of January from the 3-D model simulations of the Ross Sea described in Dinniman et al. 263 264 (2011). As we are simulating summer ice-free conditions, the sea ice module available in 265 ROMS is turned off.

266

# 267 **4. Numerical simulation of RIS eddies**

Both satellite imagery and the *in situ* VPR observations documented the presence of the cold and fresh eddies near the RIS edge. It is therefore of interest to explore the generation mechanisms responsible for their formation. To do this, we design three experiments evaluating the relative importance of three factors: ice shelf roughness, basal melting, and surface wind stress (Table 1). Except where otherwise noted, the 3-D simulations are run for 25 days.

The baseline case includes a straight ice shelf, wind forcing, and basal melting (run SIS+WIND+BM). A cold and fresh boundary layer forms at the edge of the ice shelf due to basal melting, generating a baroclinic jet (Fig. 8 a, b), a feature similar to that

described by Niebauer (1982) for a marginal sea ice edge. This is also consistent with a
westward coastal jet found near the RIS front (Jacobs et al., 1970; Keys et al., 1990).
Variations in surface velocity in the interior are driven by wind forcing. In this
experiment, we see no evidence for generation of the types of mesoscale structures we
observed (Figs. 2 and 3), even when the model was run out for an additional 60 days (not
shown).

When the straight ice shelf is replaced with an irregular one (run IIS+WIND+BM), mesoscale instabilities develop in the boundary current, shedding cold and fresh eddies into the interior (Fig. 8 c, d).

To quantify the importance of wind forcing, the experiment was repeated with wind forcing turned off (run IIS+BM). Instability in the boundary current persists (Fig. 8 e, f), generating cold and fresh eddies similar those present in run IIS+WIND+BM (Fig. 8 c, d). Thus wind forcing is not necessary for eddy formation, although it does make the resulting eddies more energetic (Cf. Figs. 8c, d and 8e, f), with a domain-wide eddy kinetic energy 60% larger than the no-wind case at model day 25.

292 Pawlak and McCready (2002) conducted laboratory experiments to study the 293 instabilities associated with oscillatory flow. Their results showed that oscillatory flow 294 along an irregular coastline can change streamlines and vorticity, thus providing a 295 mechanism for transferring anomalies from boundary to the interior of the flow. The 296 instability and eddies are further found to be linked to the along-shore flow length scale and roughness length scale, a finding consistent with numerical simulations of Signell 297 and Geyer (1991). With a rougher ice edge with smaller length scale, a stronger 298 299 eddy-driven flow is present. In our case, a similar mechanism exists. The formation of 300 cold and fresh anomalies due to basal melting first generates an along-shelf flow. The resulting baroclinic current is perturbed by irregular ice shelf edge, triggering instability 301 302 that forms eddies, which carry the cold and fresh anomalies offshore to the open ocean. The inclusion of wind forcing, though, can modulate the instabilities through 303

304 wind-induced oscillations in inflow and outflow, thus further changing the timing and detailed structure of eddies. Chu (1987) studied the fast ice-ocean interaction, and found 305 306 that even without any prescribed external forcing, the most unstable vertical wave mode 307 can be excited by ice shelf curvatures, which is also consistent with our conclusion here. 308 A cross-section of one of the eddies simulated in run IIS+WIND+BM (Fig. 8) reveals a lens of cold and fresh water is present in the upper 35 m (Fig. 9). Although the 309 310 hydrographic structure of the simulated eddy is qualitatively similar to observations, the 311 thickness of the lens is much less than indicated by the VPR survey (Fig. 4). Mechanisms for thickening the lens of cold and fresh water are the subject of the next section. 312

313

## 314 **5. 2-D model simulations**

We showed that the cold eddy formation is facilitated by ice shelf roughness and basal melting. Since the thick lenses are observed both within the mesoscale RIS eddies as well as at the RIS edge where the eddies originate, it would therefore be of interest to understand what controls the surface lens thickening at the edge of the ice shelf.

319 For this purpose, a series of 2-D model sensitivity experiments are carried out with 320 simulations running for 30 days (Table 2). In the first experiment (run WIND), the model 321 is forced by wind only. A strong downwelling-favorable wind event during January 22-28 (Fig. 7) drives the model to form a thick layer of relatively warm and salty surface water 322 323 (Fig. 10 a, f, k). In the second case (run BM), the wind forcing is turned off and basal 324 melting is turned on. Basal melting leads to a cold and fresh layer adjacent to the ice shelf with buoyancy sufficient to depress the pycnocline by a few tens of meters (Fig. 10 b, g, 325 1). Note that the lack of turbulent kinetic energy input from the wind allows the surface 326 327 heat flux to establish strong stratification in the surface waters away from the ice shelf. In 328 the third case (run WIND+BM), the combination of wind forcing and basal melt creates a thick (~65 m) lens of cold and fresh water adjacent to the ice shelf (Fig. 10 c, h, m). With 329 wind forcing restored, stratification of the waters north of the ice shelf is similar to that of 330 331 the first experiment (Cf. Fig. 10 a, f, k and c, h, m).

332 Although run WIND+BM generates a layer of cold and fresh water reminiscent of that observed near the ice shelf (Fig. 5), it is not as thick. Two more sensitivity 333 334 experiments are conducted to assess whether or not this discrepancy between the 335 simulated and observed distributions could be explained by differences in the forcing and initial conditions. First, the wind stress was increased by 50% (run HWIND+BM, Fig. 10 336 337 d, i, n). This causes a modest deepening of the cold fresh layer, from ~65 m in run 338 WIND+BM to ~75 meters in run HWIND+BM run. Second, as the initial condition is 339 rather ad hoc due to limited observations available near ice shelf edge, the temperature, salinity, and density fields in the initial condition were made constant below 50 m, with 340 wind restored as observed (run WIND+BM+WS, Fig.10 e, j, o). This reduction in the 341 342 stratification allows the cold and fresh layer to deepen to 150 m (below the vertical 343 interval shown in Fig. 10). By reducing the buoyancy Richardson number, the same input 344 of turbulent kinetic energy can homogenize a thicker layer of the upper water column (Abarbanel et al., 1984), thus providing more deepening of the mixed layer by the same 345 346 amount of surface stress (Trowbridge, 1992).

347

## 348 **6. Discussion**

349 The 3-D numerical experiments highlight the role of irregularities in the geometry of 350 the ice shelf edge in stimulating eddy formation. Our results are consistent with the previous findings by Chu (1987), which showed in a semi-analytical framework that 351 352 unstable wave modes can be excited by along-ice shelf curvatures. This mechanism of 353 eddy formation is also similar to that found in tidal regimes. Both numerical models (Signell and Geyer, 1992) and laboratory observations (Pawlak and MacCready, 2002), 354 illustrate how irregularities in geometry such as ridges and/or headlands can facilitate 355 flow separation, causing vortices to be injected into the ocean interior. 356

The origin of the cold and fresh near-surface layer adjacent to the RIS can be attributed to basal melting, as evidenced by comparison of the 2-D experiments with and without this effect. However, the properties of this lens may be influenced by other

360 processes not simulated by the model. For example, formation of frazil ice can make the 361 ice shelf water even more buoyant (Jenkins and Bombosch, 1995; Smedsrud and Jenkins, 362 2004). Moreover, along- ice shelf variability in the RIS plume may be driven by a host of 363 factors, including spatial variations in the cavity geometry, atmospheric forcing, and basal 364 melting rate.

365 Downwelling-favorable winds were shown to be an important agent of thickening the 366 near-surface lens of cold and fresh water at the edge of the ice shelf. In order to ascertain 367 the degree to which such conditions may prevail at the RIS during summertime, we compute the cumulative upwelling index for each January during the period 2010-2014. 368 First, the upwelling index (UI) is computed according to UI= $\frac{\tau_x}{\rho f}$  (i.e., the offshore 369 component of the Ekman transport) following the method of Schwing et al. (1996), where 370  $\tau_x$  is the alongshore component of wind stress calculated using the Large and Pond (1981) 371 scheme, and f is the local Coriolis parameter. Positive (negative) UI represents upwelling 372 (downwelling) favorable wind conditions. The cumulative UI (CUI) is then computed by 373 integrating the resulting UI over time (i.e.,  $CUI = \int UI \, dt$ ) between January 1 and January 374 31 of each year. The slope of CUI is particularly informative, in that the most 375 downwelling favorable wind conditions are represented by the steepest descending trend 376 377 shown in CUI. In contrast, a rising trend in CUI indicates that upwelling-favorable wind 378 (negative UI) becomes more dominant.

All Januaries in the time period examined exhibited downwelling-favorable wind conditions (Fig. 11). However, there is significant interannual variability—and our observations were collected during a time period when the downwelling-favorable aspect of the wind was relatively modest. We therefore conclude that wind-forced thickening of the cold and fresh layer may be a frequent occurrence in this regime. However, other mechanisms such as variations in the basal melting rate could be as important or perhaps even more so.

## **7. Summary and Conclusions**

*In situ* observations along with numerical model simulations were used to investigate the dynamics of cold and fresh eddies near the edge of the Ross Ice Shelf that contained high phytoplankton biomass, dominated by *P. antarctica*.

391 Idealized 3-D model simulations showed how basal melting can produce a cold and 392 fresh plume adjacent to the RIS, which accelerates a baroclinic current. In the presence of irregularities in the edge of the ice shelf, that current becomes unstable and sheds eddies 393 394 with the cold and fresh water mass properties of the plume. However, in our simulations the cold and fresh lenses were quantitatively shallower than observed by VPR (35 m vs. 395 396 80 m). Idealized 2-D model simulations were then used to investigate the processes that 397 can deepen the cold and fresh surface layer adjacent to the RIS. Wind-induced 398 downwelling can deepen the layer, and the magnitude of this effect depends on the 399 strength of the wind and the underlying stratification—neither of which are particularly well constrained by available data. Sensitivity experiments using the 2-D model 400 document that plausible perturbations to the wind stress and stratification can result in 401 402 formation of a cold and fresh layer with a vertical extent similar to that observed near the 403 edge of the RIS and in the RIS eddies. A follow-up 3-D simulation with reduced 404 stratification produces thicker lenses within the simulated eddies (not shown). However, 405 we note that the observations document substantial along- ice shelf variability in the 406 density structure. Thus, the thickness of the cold and fresh layer entrained into RIS eddies 407 will vary depending on ambient conditions present at the time of eddy formation. Although our idealized 3-D simulations did not produce eddies with lenses as thick as 408 409 those observed, we expect that a model with more realistic along- ice shelf variability in 410 water masses, stratification, and surface forcing would do just that.

411

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- 434

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# 623 Appendix A. Model sensitivity to horizontal diffusivity

- 624 In numerical models, the background mixing coefficients are chosen based on grid
- 625 length scale and a time scale for energy dissipation. These parameters should be chosen
- to preserve the dynamics of interest (Mellor and Blumberg, 1985), damping out
- fluctuations that are not resolved by the grid (e.g.  $2 \Delta x$  waves). In the harmonic case, the
- background horizontal diffusivity is defined as  $(\Delta x/\pi)^2/\Delta t$ , where  $\Delta t$  is damping time
- scale. Our grid resolution is  $\Delta x=500$  m, so a practical range for  $\Delta t$  is 3~12 hours,
- 630 therefore resulting in a horizontal diffusivity coefficient of roughly  $0.5 \sim 2 \text{ m}^2\text{s}^{-1}$ . We
- 631 performed a series of experiments to test the sensitivity of lens thickness near the ice
- shelf edge to this parameter. Results show that by changing viscosity from 0.5 to 4 m<sup>2</sup> s<sup>-1</sup>,
- the lens thickness is only shallowed by ~2-3 meters, which is an order of magnitude or
- more less than the amplitude of the simulated signal. In the simulations presented herein,
- the diffusion coefficient is  $0.5 \text{ m}^2\text{s}^{-1}$ , a value that is smaller than that usually used with the
- naturally dissipative upstream advection scheme (Shchepetkin and McWilliams, 1998;
- 637 Dinniman et al., 2003).

# Appendix B. Details for the basal melting and mechanical forcing by the ice shelf edge

641 Here we briefly summarize the representation of the ice shelf in the model. The 642 ocean water underneath has interactions with the ice shelf through thermodynamics. Under the ice shelf, the upper boundary for the surface model layer is not at sea level 643 because it conforms to the ice shelf base. The hydrostatic pressure gradient force 644 645 calculation thus accounts for the possibilities that the top layer of the ocean may have a significant slope due to the ice shelf (Shchepetkin and McWilliams, 2003), assuming that 646 the ice is in isostatic equilibrium. While there are concerns about pressure gradient (PG) 647 errors at the ice shelf front in terrain following coordinate models (e.g. Losch, 2008), the 648 649 PG algorithm used in ROMS (Shchepetkin and McWilliams, 2003) has been explicitly 650 shown to limit these issues in the case of ice shelf fronts (Galton-Fenzi, 2009). A 2-D run 651 without surface forcing or basal melting shows that the PG errors cause only small perturbations to the density field. After several hours of simulation, the solution stabilizes. 652 Changes to the initial density field ( $\sim 10^{-4}$  kg m<sup>3</sup>) and the associated velocities ( $\sim 10^{-6}$  m s<sup>-1</sup>) 653 654 are essentially small. This suggests that the PG error is not a problem in our simulations. Atmospheric forcing of waters underneath the ice shelf is set to zero, assuming that 655

fluid is isolated from the atmosphere. Friction between the ice shelf and the water is computed as a quadratic stress with a coefficient of  $3.0 \times 10^{-3}$ .

At the water-ice shelf interface, a viscous sublayer model is used with three equations representing the conservation of heat, the conservation of salt, and a linearized version of the formula for the freezing point of sea water as a function of salinity and pressure. Free variables in these equations are T<sub>b</sub>, S<sub>b</sub>, and  $\frac{\partial h}{\partial t}$ , which stand for temperature, salinity at the ice shelf base, and melting rate, respectively.  $\frac{\partial h}{\partial t}$  is <0 for melting and >0 for freezing.

664 The conservation of heat across the ocean-ice shelf boundary is:

665 
$$\rho_i (L - C_{pi} \Delta T) \frac{\partial h}{\partial t} = \rho C_{pw} \gamma_T (T_b - T_w)$$
(B.1)

where  $\rho_i$  is ice density (930 kg m<sup>-3</sup>), L is the latent heat of fusion (3.34×10<sup>5</sup> J kg<sup>-1</sup>), C<sub>pi</sub> is 666 the heat capacity of ice (2000 J (kg  $\mathbb{C}$ )<sup>-1</sup>), and  $\Delta T$  is the temperature difference between 667 668 the ice shelf interior and the freezing temperature at the ice shelf base. However, in our 669 simulations we did not consider the impact of heat conduction through the ice shelf, therefore  $\Delta T=0$  and the ice shelf is assumed to be perfectly insulating.  $\rho$  is the density of 670 water,  $C_{pw}$  is the heat capacity of sea water at 0 °C (4000 J (kg °C)<sup>-1</sup>),  $\gamma_T$  is the turbulent 671 exchange coefficient for heat and is computed as a function of the friction velocity 672 (Holland and Jenkins, 1999), and  $T_w$  is the water temperature in the uppermost grid box. 673 The conservation of salt across the ocean-ice shelf boundary is written as: 674  $\rho_i S_b \frac{\partial h}{\partial t} = \rho \gamma_s (S_b - S_w)$ (B.2) 675 where  $\gamma_s$  is the turbulent exchange coefficient for salt, and is computed as a function of 676 the friction velocity (Holland and Jenkins, 1999), and  $S_w$  is the salinity in the uppermost 677 grid box. The linearized equation for the freezing point of sea water (Foldvik and Kvinge, 678 1974) defines  $T_b$  as 679  $T_b=0.0939-0.057S_h + 7.6410 \times 10^{-4}h$ (B.3) 680

681 where h is the depth below the sea level.

For simplicity, the draft and extent of the ice shelf do not change over time in the model,
a reasonable approximation for simulations of this duration also made in previous

- 684 simulations (Dinniman et al., 2007; Stern et al., 2013).
- 685

<b>3-D</b> experiments	Ice shelf edge	Basal melting	Surface wind forcing
SIS+WIND+BM	Straight	ON	ON
IIS+WIND+BM	Irregular	ON	ON
IIS+BM	Irregular	ON	OFF

Table 1. List of 3-D experiments performed in this study. In the left column, SIS and IIS
 stand for "straight ice shelf" and "irregular ice shelf" respectively; BM stands for basal
 melting.

2-D experiments	<b>Basal melting</b>	Surface wind forcing	Initial condition
WIND	OFF	Observed	CTD 58
BM	ON	OFF	CTD 58
WIND+BM	ON	Observed	CTD 58
HWIND+BM	ON	Observed ×1.5	CTD 58
WIND+BM+WS	ON	Observed	CTD 58, constant density below 50m

Table 2. List of 2-D experiments performed in this study. In the left column, HWIND,

698 WS, and BM stand for "high wind stress", "weak stratification", and "basal melting",

respectively.



Fig. 1. Bathymetric map of the Ross Sea based on Bedmap2 bottom elevation data made
available by the British Antarctic Survey (<u>http://nora.nerc.ac.uk/501469/</u>). White contours
are the 400 m isobath. The Pentagram indicates the location for Antarctic meteorological
station VITO. The permanent ice shelf is shown in light gray, and land in dark gray.





Fig. 2. Moderate Resolution Imaging Spectroradiometer (MODIS) level 2 (1 km

resolution) SST and Chl-a images for January 22, 2012. Black lines outline the two ice

shelf eddies. Satellite data provided by NASA's Goddard Space Flight Center

716 (http://modis.gsfc.nasa.gov/data/).







Fig. 4. Profiles of temperature, salinity and fluorescence (F in relative fluorescence units,
or RFU) from the VPR survey depicted by the magenta line in Fig. 3. In panel (c), the
depth of the second optical depth is plotted in black dashed line. Bottom panel shows the
weighted VPR fluorescence within the top 10 m (black), MODIS surface Chl-a

- concentration extracted along the VPR track (blue), and depth-integrated VPR
- fluorescence (red) within the top 100m.
- 735
- 736



Fig. 5. Temperature, salinity,  $\sigma_t$  and fluorescence (F in fluorescence units, or RFU) observations for RIS CTD casts (see Fig. 3 for station positions). The white dashed line indicates the station where the transect orientations shift from north-south to east-west.

737



Fig. 6. Cross-shelf view of ice shelf configuration and model grid. The grids are
decimated such that each cell represents 5 by 4 grid points. Insets show zoomed-in views
of the temperature and salinity profiles in the initial condition on the upper part of the ice
shelf (blue rectangle).

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Fig. 7. 10-meter wind record from meteorological station VITO (see Fig. 1 for station location).



Fig. 8. Snapshots of surface temperature and salinity (surface velocity vectors overlaid) at model day 25 listed in Table 1: (a, b) SIS+BM+WIND; (c, d)
IIS+BM+WIND; (e, f) IIS+BM. The magenta line in panels c and d indicates the location of a cross-eddy transect shown in Fig. 9.



792Fig. 9. Vertical transect of temperature, salinity and  $\sigma_t$  for the cross-eddy transect793indicated in Fig. 8.





Fig. 10. Snapshots of temperature, salinity and  $\sigma_t$  on model day 29.5 for 2-D experiments listed in Table 2: (a, f, k) WIND; (b, g, l) BM; (c, h, m) WIND+BM; (d, i, n) 2D HWIND+BM; (e, j, o) 2D WIND+BM+WS. Solid contours highlight key isotherms (-1.1 to -0.5 °C at intervals of 0.2 °C), isohalines (34.18 to 34.21 at intervals of 0.01), and isopycnals (27.45 to 27.48 kg m<sup>-3</sup>at intervals of 0.01 kg m<sup>-3</sup>).

